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## From Latin to Romance: case loss and preservation in pronominal systems

**Abstract:** The evolution from Latin into Romance is marked by the loss of case in nominal declensions. In most Romance varieties, however, pronouns, specifically in the 1<sup>st</sup>/2<sup>nd</sup> person singular, keep case differentiations. In some varieties 1<sup>st</sup>/2<sup>nd</sup> singular pronouns present a three-way case split, essentially the same reconstructed for proto-Romance (De Dardel and Gaeng 1992, Zamboni 1998). We document and analyze the current situation of Romance in the first part of the article (section 1). In the second part of the article we argue that the Dative Shifted distribution of *loro* in modern Italian, accounted for by means of the category of weak pronoun in Cardinaletti and Starke (1999), is best construed as a survival of oblique case in the 3<sup>rd</sup> person system (section 2). This casts doubts on the weak pronoun category, as applied to Old Italian as well (Egerland and Cardinaletti 2010).

**Keywords:** case, oblique, plural, person split, pronouns, weak pronouns

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### 1 From Latin to Romance varieties: Three case systems

Within the minimalist framework, Chomsky (2001, 2008) proposes that the real underlying relation between case assigner and case assignee is agreement in phi-features. While phi-features are interpretable on DPs, case is not; its status is that of a mere reflex of agreement on DPs. The most obvious problem with a reduction of case to agreement is that mismatches between agreement and case are fairly common in natural languages. First, there are instances where the EPP arguments of finite sentences are in the accusative, for example causative constructions in infinitive-less Balkan languages (Greek, Albanian). According to Iatridou

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(1993), Chomsky (2001) the relevant sentences in Greek are untensed (i.e. they are in an invariable present form); but Manzini and Savoia (2007) show that in Arbëresh (Italo-Albanian) varieties they involve the embedding of past tenses, as in (1). In (1) therefore the accusative form *buftinə* agrees with TP – and in fact it alternates with the predicted nominative.

- (1) (ε) bara        tə        fraçə        buftinə/buftri  
 (it) made-1sg    Prt    slept-3sg    dog-acc.def/dog-nom.def  
 ‘I made the dog sleep’

*Vena di Maida*

Baker and Vinokurova (2010), in turn, study instances where accusatives are assigned in the absence of a vP in Sakha (Turkik). Similar phenomena are described in the typological literature under the label of ‘extended accusative’ (Plank 1985), documented in familiar languages like Latin. Specifically, Late Latin has a number of accusatives corresponding to the sole argument of unaccusative verbs, including middle-passives (M/P), as in (2a). The same pattern appears more sporadically in Early Latin, as in (2b); other examples are discussed in Cenamo (2011), where the data in (2) come from. Since unaccusative verbs by hypothesis lack a vP projection, the prediction is that they exclude accusative arguments; yet *ipso*s *ficos* in (2a) and *vitam* in (2b) are accusatives.

- (2) a. *ipso*s        *ficos*        *imponatur*  
       these-acc    figs-acc    gather-subj.M/P.3sg  
       ‘One should gather these figs’

(Rufus of Ephesus, *De Podagra* 35)

- b. *vitam*        *vivitur*  
       life-acc    live-indic.M/P.3sg  
       ‘Life is lived’ (i.e. ‘One lives one’s life’)

*Early Latin* (Ennius, *Tragoediae* 241)

Given the difficulties involved in Chomsky’s conception, Manzini and Savoia (2011a) revert to the classical idea that the category of case has an interpreted content, namely as an elementary predicate/operator. As in standard lexicalism, entries specify a mapping between sound and meaning (cf. Jackendoff 2002), and they do so without any distinction between the so-called functional lexicon (including case inflections) and the substantive lexicon. Correspondingly, there is no morphological buffer between functional nodes and the exponents that instantiate them, in the manner of Distributed Morphology; morphology and syntax form a unified module of grammar, projected directly from

lexical items – which seems to be what the minimalist program (Chomsky 1995) intends.

In this section, we pursue Manzini and Savoia's approach, illustrating it first with Latin, and in particular with the *-i* inflection; we associate this ending with a relational content, which accounts both for its oblique and its plural reading (section 1.1). We then analyze three-case systems (nominative, objective, oblique) found with 1<sup>st</sup>/2<sup>nd</sup> person pronouns in Romance (sections 1.2, 1.3, 1.5, 1.6). The view that case inflections are interpreted makes it possible for us to associate a theoretical content with the conclusion that, for instance, the *-i* inflection of Romance (oblique) continues the *-i* inflection of Latin – namely its interpretive content remains essentially constant (section 1.4).

## 1.1 The notion of case: Latin *-i*

Consider the Latin inflection *-i* seen in the genitive of the II class, as in (3b), and in the dative of the III class, as in (3a) – i.e. generally in the oblique. For Manzini and Savoia (2010), *-i*, like the prepositions *to* and *of* in English, lexicalizes an elementary predicate introducing a possession relation between the noun (phrase) to which the case ending attaches and a local argument. This is the first internal argument of the ditransitive predicate in the 'dative' (3a), and the head of the DP in the 'genitive' (3b). The second internal argument of ditransitives (the dative), as in (3a), has been connected to possession in the formal literature at least since Kayne (1984).

- (3) a. mulier-i      omnia    dat      dono  
       woman-dat   all-pl    give-3sg   gift-abl  
       'He gives everything to the woman as a gift'

(Plautus, *Miles Gloriosus*, 1137)

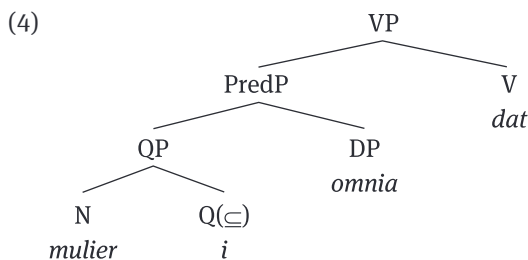
- b. nomen    domin-i  
       name    master-gen  
       'The name of the master'

(Plautus, *Mostellaria*, 661)

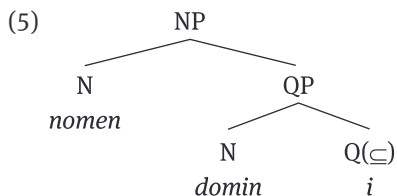
An idea put forth in similar terms by various strands of literature is that possession is in fact a surface manifestation of the more elementary part-whole relation. Manzini and Savoia (2005, 2007) propose that the Romance clitic *ne* (syncretic in some varieties between genitive and dative) denotes a superset-of some other argument of the sentence (the theme). Belvin and den Dikken (1997: 170) define the relation introduced by *have* as "zonal inclusion" in the following terms:

“the ‘meaning’ of have . . . denotes a special kind of inclusion relation . . . dubbed ‘zonal inclusion’ . . . Entities have various zones associated with them, such that an object or eventuality may be included in a zone associated with an entity without being physically contained in that entity . . . The type of zones which may be associated with an entity will vary with the entity.” Manzini and Savoia (2011a) notate the relevant relation with ‘ $\subseteq$ ’, to be understood not mathematically but as looser zonal inclusion. This relation can be lexicalized by case endings (Latin) or it can be lexicalized by prepositions (English). We correspondingly notate prepositions like *to* and *of* as  $P(\subseteq)$ , as a reminder of their content. As for oblique endings like Latin *-i*, we label them as  $Q(\subseteq)$ , since relational content within the nominal domain is associated with Q categories (cf. generalized quantifier theory).

In (3a), following Kayne (1984), the complement of the ditransitive verb ‘give’ is a predication PredP. In present terms, the dative *-i* inflection introduces a possession predicate,  $Q(\subseteq)$ , which takes *mulier* as its internal argument (the possessor) and the theme of the verb *omnia* as its external argument (the possessum), as in (4).



The same relation  $Q(\subseteq)$ , introduced by the same inflection *-i* predicates possession/inclusion of *nomen* by *domini* in (3b). The *-i* inflection with  $Q(\subseteq)$  content takes the possessor *domin-* as its internal argument and the possessee *nomen* as its external argument, as in (5).



Several issues are raised by this proposal. First, datives also occur as arguments of unergative verbs. We have seen that with ditransitive verbs  $Q(\subseteq)$  estab-

lishes a relation between the argument to which it attaches and another argument present within the VP. The question is what the  $Q(\underline{\quad})$  inflection *-i* does in an unergative sentence like (6a). Intuitively, unergative predicates can be paraphrased by a causative predicate associated with an eventive nominal. Thus in English *answer* alternates with *give an answer to*. Hale and Keyser (1993), Chomsky (1995) formalize this intuition about the complex nature of unergative predicates by assuming that they result from the incorporation of an elementary state/event noun into a transitivizing predicate (CAUSE, or  $\nu$ ), cf. (6b). Within such a conceptual framework it is possible to argue that  $Q(\underline{\quad})$  takes as its arguments the noun to which it attaches (the possessor) and the elementary state/event (the posses-sum). Thus (6a) can be informally rendered as ‘He caused the woman (to get) an answer’, as in (6b) – which justifies the presence of  $Q(\underline{\quad})$ , i.e. the descriptive dative.

- (6) a. [...] mulier-i responderet  
 ... woman-dat answer-subj.3sg  
 ‘He would answer the woman’  
 (Gaius Ateius Capito, *Iurisprudencia*, 9.8)
- b. EA [CAUSE/ $\nu$ ] [answer [ $Q(\underline{\quad})$ ] (the) woman]

With genitives, a potential problem concerns eventive or deverbal nouns. In this instance, the genitive lexicalizes not the possessor, with a notoriously loose relation with the head noun, but what appears to be an internal or external argument of the noun, with a stricter relation to it, as illustrated in (7). Despite apparent interpretive differences, we provisionally maintain the same characterization for the genitive in (7) as we have provided for possessors. In other words, inclusion,  $Q(\underline{\quad})$ , is the all-purpose attachment for complements of nouns, though its interpretation appears to be restricted when it satisfies an argument slot of eventive/deverbal nouns.

- (7) imperi-i cupiditas  
 command-gen desire  
 ‘The desire for command’  
 (Cicero, *Rhetorica ad Herennium* [sp.] 2.34.1)

A different type of problem with our approach is met when we consider that *-i* is not just an oblique singular in Latin, but also a plural, in particular the nominative plural of the same II declension illustrated in (3b), as in (8). One obvious move, face to the difficulty of reducing the plural nominative in (8) to the singular oblique in (3) is to postulate two different *-i* inflections, as proposed by Halle and

Vaux (1997), Calabrese (1998, 2008). The problem with this is that the singular oblique/plural non-oblique syncretism looks anything but accidental; Manzini and Savoia (2011a) study it in detail in Albanian, while Johnston (1997) quotes several other examples, e.g. Russian.

- (8) unum                    [...] domin-i      fugiunt  
       one.thing-acc    ...    master-pl    shun-3pl  
       ‘The masters shun a single thing’

(Cicero, *De Lege agraria*, 2.70.3)

Manzini and Savoia (2010) argue that *-i* maintains its  $Q(\subseteq)$  content both as the oblique in (3) and as the plural in (8). Specifically, they propose that  $Q(\subseteq)$  is construed as plural morphology if its scope is restricted to the noun it attaches to. It contributes plurality to the noun as sketched in (9) – by isolating a subset of the set (or set of sets) of all individuals that are ‘master’ – the latter taken to be the denotation of the predicate ‘master’.<sup>1</sup> Note that under the proposal in (9), *-i* contributes only plurality to the noun it attaches to; in other words, the conventional nominative plural in *-i* of the Latin II class is in reality a pure plural. We shall return to direct cases, i.e. the conventional nominative and accusative in general, in section 1.3.

- (9) a.  $\text{domin } [_{Q(\subseteq)}i]$   
       b.  $\exists x Q(\subseteq) \{\text{master}\}$   
       ‘an *x* such that *x* is a subset of the set of individuals with the property ‘master’

Summing up so far, the main aim of this section was to introduce a conception of case as an interpretable element, contributing to the construction of the LF of the sentence – as opposed to the minimalist conception of case as an uninterpretable property, entering only the syntactic computation as a reflex of agreement. This is most easily comprehended in relation to oblique cases (essentially the inherent cases of Chomsky (1986)) – which is why we started from the oblique. At the same time, the brief discussion of the descriptive syncretism be-

<sup>1</sup> An anonymous reviewer notes that a singleton subset would satisfy the definition of plural in (9). S/he also notes that an appropriate stipulation can be added to restrict the cardinality of the subsets. We prefer to leave the matter as is, since it is evident that many plurals of natural languages can be satisfied by singletons. This is true for instance of generics e.g. *They are knocking at the door. (It's Peter.)* or *I am peeling onions/an onion right now*. It is also obviously true of questions (*How many came? Just one*).

tween oblique (singular) *-i* and plural (non-oblique) *-i* evokes a further important theoretical matter, involving the nature of the lexicon. Two main frameworks are currently available for thinking about inflections and other functional categories. Under the realizational view, associated in particular with Distributed Morphology (Halle and Marantz 1993), functional nodes in the syntactic tree are represented by clusters of abstract features; only after these are processed by the Morphological Structure component, are actual ‘exponents’ inserted (Late Insertion). The classical lexicalist framework on the other hand is projectionist, i.e. takes syntactic trees to be projected from lexical entries, conceived as pairings of LF and PF properties. As far as we can tell, this latter view is held by Chomsky (1995) – and implied by the minimalist principle of Inclusiveness. The projectionist view is simpler, in the sense that it cuts out the Morphological Structure component altogether. At the same time the argument of proponents of the realizational view is that Morphological Structure is empirically motivated, among others by syncretisms. The discussion of Latin *-i* that precedes suggests on the contrary that descriptive syncretisms can be dealt with under a projectionist view in an advantageous way.

As before, our morphological treatment raises several issues. First, different nominal classes (or declensions, in the terminology of traditional Latin grammars) display different syncretisms. For instance, in (3) we have used a III class noun *mulier* and a II class one, *dominus*. In the III class, *-i* is a dedicated dative singular (the genitive singular and the plural are formed by *-s*). In the II class, we observe a genitive singular/nominative plural syncretism, as in (3b) and (9). In the pronominal 3<sup>rd</sup> person declension, *-i* is the dative singular and the plural (masculine), cf. *illi* (‘to him, they’). It is only in the I class that the genitive/dative singular and the plural coincide on *-i*, at least if we follow Halle and Vaux (1997) in assuming that forms like *rosae* ‘of/to the rose, roses’ are underlying *rosa-i*. Suppose we associated Latin *-i* simply with the entry in (10a), reflecting the fact that it can in principle be dative, genitive and plural, as in (10b). This is of course insufficient to capture its varying values in the different classes.

- (10) a. *-i*:  $Q(\subseteq)$   
       b. VP construal: ‘dative’  
           NP construal: ‘genitive’  
           N construal: ‘plural’

In fact, what we are looking for is simply a technical means by which the content of *-i* in (10) can be contextually restricted to certain subclasses of N. With free lexical items/morphemes, contextual restriction is achieved by means of selection. Therefore we assume that the ‘plural’ attachment of *-i* selects the subset

of Ns conventionally known as II class as well the *ill*- base, as in (11). The same mechanism can be used to exclude II class Ns from those that take *-i* in the dative singular – and so on. Though the nominal inflection system of Albanian is somewhat simpler than that of Latin, Manzini and Savoia (2012) argue that nothing more than selection is required to account for the entire distribution of case endings in the various nominal classes.<sup>2</sup>

- (11) *-i*:  $Q(\subseteq) \rightarrow$  VP construal ('dative'): selects for all N, except II class  
N construal ('plural'): selects for II class Ns, *ill*-  
NP construal ('genitive'): .....

1.2 Romance pronouns with a three case system

An approach like the one sketched in the previous section treats case inflections, e.g. Latin *-i* in the same terms as any contentive lexical entry of the language. It also allows us to speak of the historical change they might have undergone, no differently than if we were speaking about any other lexical category. In this section, we will review some Romance languages that preserve a particularly rich case system, namely the three-way split (nominative, accusative, oblique) reconstructed for proto-Romance by De Dardel and Gaeng (1992), Zamboni (1998). In sections 1.3–1.5 we will turn to their analysis.

In the Romansh variety of *Vella* (Lumnezia Valley, Grisons), the 1<sup>st</sup> person singular pronoun has a three case system, namely nominative, objective and oblique, as schematized in Table 1. By *a Obj* we mean the form selected by the

Table 1: Full pronouns in *Vella*

	1sg	2sg	3sg	1pl	2pl	3pl
<i>Nom</i>	jɛu	ti	el/ɛ:la	nu:s	vu:s	els/ɛ:las
<i>Obj/P Obj</i>	mai	tai				
<i>'a' Obj</i>	mi	ti				

<sup>2</sup> Since the Romance languages we concentrate on have three cases (or at best a residual comitative/instrumental fourth, cf. section 1.2), the only oblique discussed here is genitive/dative. As is well-known, Latin also had an ablative (instrumental etc.). In some nominal classes, *-i* can also take on this value. For some insights into the matter, we refer the reader to the discussion of the residual ablative of Albanian (also syncretic with the oblique) in Manzini and Savoia (2011a, 2012).



*a* ‘to’ preposition, i.e. the dative/oblique exemplified in (12a). The form that is selected by other prepositions, notated *P Obj* in Table 1, is the same that occurs as the object of a verb (*Obj*), as exemplified in (12b)–(12c); we identify it with the accusative/objective. The 3<sup>rd</sup> person and the 1<sup>st</sup>/2<sup>nd</sup> person plural have a single form; the 2<sup>nd</sup> person singular has two forms for nominative and oblique vs. accusative.

- (12) a. els     datən     a     mi/ti/els  
          they give-3pl to me/you.sg/them  
          ‘They give it to me/you/them’
- b. els     klōman     mai/tai/els  
          they call-3pl me/you.sg/them  
          ‘They call me/you/them’
- c. els     fan     per     mai/tai/els  
          they do-3pl for me/you.sg/them  
          ‘They do it form me/you/them’

Vella

Similar data emerge in the pronominal systems of Southern Italian varieties, for instance *Sasso di Castalda* (Lucania)<sup>3</sup>. This variety has a single pronominal form for 3<sup>rd</sup> person and for 1<sup>st</sup> and 2<sup>nd</sup> plural. By contrast, 1<sup>st</sup> and 2<sup>nd</sup> person are associated with a three case system, again nominative, objective (for the object of prepositions other than *a*) and oblique (for the object of the *a* preposition), as schematized in Table 2. The processing of the relevant examples in (13) is slightly complicated by the fact that *Sasso* is a Differential Object Marking (DOM) language

Table 2: Full pronouns in *Sasso*

	1sg	2sg	3ps	1pl	2pl	3pp
<i>Nom</i>	ji	tu	iddə/edda	nujə	vujə	lɔrə
<i>P Obj</i>	me	te				
<i>‘a’ Obj</i>	mi	ti				

3 Limitations of space prevent us from providing more than one example. The *Sasso* system is found not only in Southern Italy (Loporcaro 2008), but also in Sardinian varieties (Manzini and Savoia 2010). In Romanian, 3<sup>rd</sup> person and 1<sup>st</sup>/2<sup>nd</sup> plural pronouns have a two case declension, like non-pronominal Ns. 1<sup>st</sup>/2<sup>nd</sup> person singular pronouns however distinguish dative *mie/tie* from accusative *mine/tine* and nominative *eu/tu* (a three case system again).

(Aissen 2003 and references quoted there), where the definite, animate direct object is introduced by the preposition *a* in (13a), exactly like the dative in (13b). Correspondingly the descriptive literature speaks of a ‘prepositional accusative’ in (13a).

- (13) a. *camənə a mmi/tti/jiddə*  
           call-3pl to me/you/him  
           ‘They call me/you/him’  
       b. *u rainə a mmi/tti/jiddə*  
           it give-3pl to me/you/him  
           ‘They give it to me/you/him’  
       c. *l a ffattə pə mme/tte/jiddə*  
           it has done for me/you/him  
           ‘S/he has done it for me/you/him’

*Sasso di Castalda*

Actually, *Sasso* displays a fourth case form – originating from the combination of the pronoun and preposition *cum* ‘with’ – in contexts introduced by the preposition ‘with’, as in (14a), and other selected prepositional contexts, as in (14b), configuring an even more complex case system (cf. fn. 2).

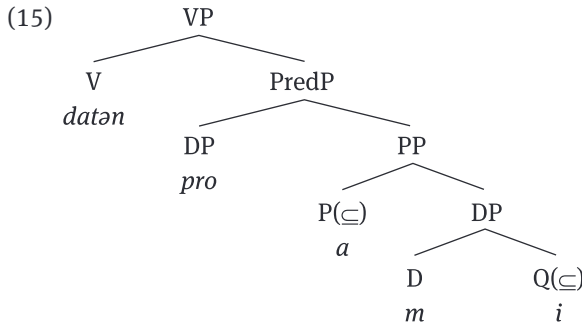
- (14) a. *ku mmikə/ttikə*  
           with me/you.sg  
           ‘with me/you’  
       b. *viənə addo mmikə/ttikə*  
           comes to me/to you.sg  
           ‘S/he comes to me/you’

*Sasso di Castalda*

### 1.3 The three case system of *Vella*: nominative, objective, oblique

Let us review first the system of *Vella* in Table 1, which presents three cases in the 1<sup>st</sup> person singular, though it doesn’t have the added complication of the prepositional accusative. In *Vella*, a morphological oblique, i.e. *m-i* in the 1<sup>st</sup> singular, is embedded under the preposition *a* ‘to’, as in (12a). Given the account of oblique case sketched in section 1.1, we conclude that the *-i* inflection in *Vella* lexicalizes the  $Q(\subseteq)$  elementary predicate. The *a* ‘to’ preposition can itself be construed as introducing a  $(\subseteq)$  property, i.e. as  $P(\subseteq)$ , which doubles the dative inflection  $Q(\subseteq)$ .

The predicate ( $\sqsubseteq$ ) is the head of a predication PredP, denoting possession/inclusion, which takes *m*- as its internal argument (the possessor) and the theme of the verb (*pro*) is its external argument (the possessum), as schematized in (15) (cf. (4) in section 1.1).



We can furthermore ask whether the framework sketched in section 1.1 and applied in (15) allows us to provide an account of the direct cases of *Vella*. ‘Accusative’ corresponds to the merger of the lexical bases *m*-, *t*- (denoting speaker, hearer) with the inflection *-ai*, endowed at least with N (nominal class) properties. Suppose *-ai* has no further properties. In other words, while *-i* in Latin or in *Vella* is endowed with predicative/operator content ( $Q(\sqsubseteq)$ ), the *-ai* inflection of *Vella* is a pure nominal inflection N. If so, the pronominal inflection does not contribute any means by which to attach the pronoun to the sentence spine. Rather the pronoun is attached to the sentence via ordinary lambda conversion – which the nominal inflection N is necessary and sufficient to satisfy. Prepositions other than *a* behave like verbs in that their internal argument position is simply satisfied by the N inflection.

In the 1<sup>st</sup> person ‘nominative’ is represented by a specialized lexical base (*jēu*). It is reasonable to attribute to it again minimally, and perhaps maximally, nominal N properties, besides speaker denotation. Nevertheless all-purpose satisfaction of argumental slots (‘accusative’) is differentiated from satisfaction of the EPP environment (‘nominative’) – which may perhaps be conceived as a sort of specialized lambda abstract, as suggested by Butler (2004)<sup>4</sup>.

In the present account, the asymmetry between structural and inherent case of Chomsky (1986), is reconstructed as an asymmetry between two types of

<sup>4</sup> The 2<sup>nd</sup> singular differs from the 1<sup>st</sup> singular in presenting a *t-i* form syncretic between nominative and oblique. See section 2.1 for a comparable syncretism in the 3<sup>rd</sup> person of Old Florentine (*ell-i* nominative and *lu-i* oblique singular).



as the Elsewhere case, while accusative is the case assigned (checked) when there is at least another DP not assigned inherent case locally. We suggested the opposite, namely that accusative is the Elsewhere case, since it corresponds simply to the attachment of arguments via ordinary lambda conversion (see also Adger and Ramchand (2005) for a feature theoretic translation of lambda notation). Nominative by contrast corresponds to the satisfaction of the specialized EPP environment (perhaps a specialized lambda abstract). Whether our approach can do away with the dependent case algorithm remains to be verified.

## 1.4 The nature of change

On the basis of the discussion of Latin and Romance case in sections 1.1 and 1.3, we can briefly consider the issue of change. Within the framework of Distributed Morphology, Calabrese (1998, 2008) proposes an account for the development from Latin to Romance case systems which treats case loss in terms of the activation of constraints disallowing certain case feature combinations. In a language which has all possible case oppositions, none of the case constraints applies. Languages that do not have certain cases, or have no case, activate one or more restrictions – or all restrictions. Therefore what happens on the way from Latin to Romance is the activation of several case constraints. The corresponding feature clusters undergo repairs that are standard under DM (Impoverishment etc.), resulting in the insertion of syncretic exponents.

In the present model, morphosyntactic structures are projected from fully specified lexical items; there are no abstract feature clusters and no constraints of the kind postulated by Calabrese and therefore the change from Latin to Romance cannot be a change in these constraints. The question is whether there is an alternative. Under the assumption that the locus of variation (and hence of change) is the lexicon, all that can change are case inflections. Therefore a certain part of the Latin case inflectional system is dropped on the way to Romance (for instance consonantal specialized endings such as *-bus* for oblique plural, *-m* for accusative and neuter nominative, etc.). What survives, for instance *-i*, survives with the same basic properties it had in Latin. In the discussion surrounding (10)–(11) we discussed the basic mechanism, i.e. selection, whereby *-i* maintains the same core content, though its distribution varies according to nominal class. In this perspective, the *-i* continued by *Vella* is specifically the dative of singular pronouns (*mihi* ‘to me’). To take another example, in standard Italian, the *-i* inflection is dative on the *gli-i* ‘to him’ clitic, or plural on the *li-i* ‘them’ clitic. Again it continues the *-i* of 3<sup>rd</sup> person pronouns, i.e. *ill-i* as dative singular and nominative plural.

In this respect, historical change raises an important question for the Distributed Morphology model, where underlying syntactic structure involving only abstract feature clusters are lexicalized by exponents at the PF interface. We have seen that for Calabrese (2008) change in case systems is change in underlying feature clusters. Nothing is said about the vocabulary of exponents that runs parallel to the underlying abstract structure, providing an externalization for it – in line with the conceptualization in Halle and Marantz (1993), Halle and Vaux (1997). In reality, the assumption seems to be implicit in Calabrese's account that the vocabulary remains constant. But why would that be? Why can't the abstract lexicon and the vocabulary of exponents vary each on its own?

For instance, why wouldn't *-i* be found in some Romance language as an accusative singular (this would be a Romance language with an accusative singular clitic *li*)? Similarly, consider *-s*, which for Halle and Vaux (1997) is just a default, i.e. an empty exponent. Why couldn't there be a language like French, except that *-s* marks the accusative singular? The whole of historical comparative grammar is predicated on the assumption that such 'arbitrary' changes are impossible – essentially rematching a possible LF with a possible PF, breaking with previously attested possible LF values for the PF form. Our point is that the existence of a conventional lexicon predicts this basic fact about change. However if there are in fact two separate lexicons, one for abstract contents and one for PF exponents, radical rematchings of the type described must be blocked through additional assumptions.

## 1.5 Differential Object Marking: the *Sasso* system

The language of *Sasso* in (13) presents a distribution very similar to that reviewed for *Vella* – except that 1<sup>st</sup> and 2<sup>nd</sup> person pronouns are preceded by the preposition *a* not only as datives (i.e. goals, possessors etc.), but also as themes. In this latter instance, the traditional literature speaks of 'prepositional accusatives'. The prepositional accusative treatment extends to animate and definite noun phrases, as in (17a), though not to inanimates and indefinites, as in (17b). Either animacy or definiteness may suffice in some varieties to define an appropriate context for prepositional accusatives, but at least one of these properties is needed (Suñer 1988 on Spanish, Manzini and Savoia 2005 on Italian varieties).

- (17) a. *camənə a ffrat-tə*  
           call-3pl to brother-yours  
           'They call your brother'

- b. annə      piʌʌtə    nu/kwiru    libbrə  
       have-3pl    taken      a/that      book  
       ‘They have taken a/that book’

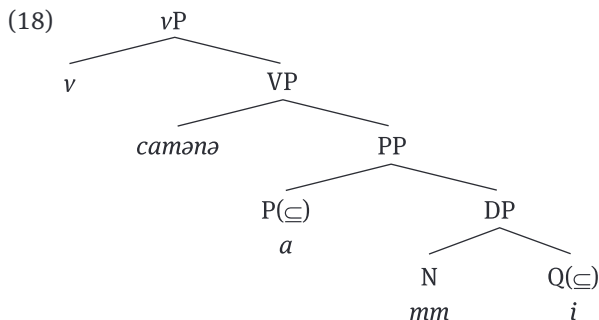
*Sasso di Castalda*

Prepositional accusatives fall under a large family of linguistic behaviours, whereby case is determined not only by the argument position that a DP fills but also by its intrinsic referential content. These behaviours are often described in terms of alignment between case and ‘animacy’. As Dixon (1979: 85–86) comments, “though the phenomenon is often referred to under the heading of split ergativity, it is evident that in the typological continuum it touches what we may call split accusativity”. Similarly, using a different terminology, Aissen (2003: 473) states that “the factors that favour differential subject marking will be the mirror image of those that favour DOM” (Differential Object Marking).

Consider then the *Sasso* pronominal system in Table 2. The nominative forms consist of a specialized lexical base for the 1<sup>st</sup> person and presumably also for the 2<sup>nd</sup> person. This specialized lexical base corresponds to the satisfaction of the EPP (perhaps just a special lambda operator, as suggested in section 1.3). The non-nominative forms, *me/te* and *mi/ti*, consist of the lexical bases *m-* and *t-*, inflected by *-e* and *-i* respectively. The *-e* ending is just a nominal class N inflection, which we take to be sufficient to satisfy the ordinary argument-of relation (lambda conversion). This inflection, i.e. the descriptive accusative/objective, is seen in *Sasso* in (13c) with embedding under prepositions other than *a*.

What is directly relevant here is the *-i* inflection, specialized for embedding under the *a* preposition. Suppose this inflection is analysed as a lexicalization of  $Q(\subseteq)$ , corresponding to the descriptive dative/oblique. This is unproblematic for the ditransitive structure in (13b) – but if extended to (13a) it implies that prepositional accusatives are really datives/obliques in turn. In section 1.1, we have seen that unergative verbs such as *respondo* ‘answer’ in Latin (6a) can be paraphrased by a causative elementary predicate taking an eventive nominal as its complement (cf. *give an answer to*). Following Hale and Keyser (1993), Chomsky (1995) the unergative predicate result from the incorporation of the elementary state/event into the transitivizing predicate (CAUSE, or  $v$ ). It is then possible to argue that a  $Q(\subseteq)$  complement takes as its internal arguments the noun to which it attaches (the possessor) and as its external argument the elementary state/event (the possessum) – as schematized in (6b) for unergatives. We assume more or less the same for the transitive *camənə* ‘they call’ in (13a), with the structure in (18). In other words, ‘call’ can be thought of as a complex predicate consisting of a causative elementary predicate ( $v$ ) and an eventive nominal (cf. *give a call to somebody*). If so, the two arguments of  $Q(\subseteq)$  in (18) are the 1<sup>st</sup> person clitic to

which it attaches and the event nominal (literally ‘They caused me (to have) a call’).<sup>7</sup>



In the light of (18), the gist of the prepositional accusative/DOM phenomenon is that certain types of referents (discourse participants, animates, definites) require to be embedded via the elementary predicate  $Q(\subseteq)$ , and are incompatible with the embedding provided by the descriptive accusative (corresponding to simple lambda conversion). In other words, they are associated only with certain roles in the event: agent, possessor, but not theme. Inanimate/indefinite complements yield a canonical transitive event structure, comprising an agent and a theme, as in (17b). In (18) the discourse participant must on the contrary be treated as a possessor, ‘zonally including’ the event of calling.

## 1.6 The Person split

The treatment of DOM in the previous section allows us to ask a crucial question, left implicit so far, namely why 1<sup>st</sup>/2<sup>nd</sup> person referents (speaker, hearer) are associated with a richer array of cases than other (3<sup>rd</sup> person) referents in many Romance languages (e.g. *Vella*, *Sasso*). This appears to be yet another facet of the alignment of case with the participant/animacy/definiteness hierarchy.

<sup>7</sup> The main problem for the approach we are proposing is represented by the fact that prepositional accusatives, like other accusatives, can passivize – while datives cannot. This problem is discussed in Manzini (2012), where it is proposed that the real difference is between  $Q(\subseteq)$  operators selected by the verb (which block passivization) and  $Q(\subseteq)$  operators introduced only in order to embed a participant/animate/definite DP within the VP – which can be left out under passive, where the DP is raised out of the VP.



We know that splits in case alignment can occur at different points in the descriptive animacy hierarchy. In *Sasso* in (17) the prepositional accusative/DOM split is between definite or animate DPs and others – as is most often the case in Romance languages (cf. Suñer 1988 on Spanish, Manzini and Savoia 2005 on Italian varieties). On the other hand, Manzini and Savoia (2005: §4.9) list Central Italian dialects where prepositional accusative/DOM only affects pronouns (including 3<sup>rd</sup> person ones) to the exclusion of other referents (*Avigliano Umbro*, *Canosa Sannita*, *Torricella Peligna*) – and other dialects where the split is between 1<sup>st</sup>/2<sup>nd</sup> person referents and the rest, as illustrated in (19) with *Cagnano Amiterno* (cf. *Colledimacine*, *Borbona*).

- (19) a. camanu    a    mmi/a    tti  
           they.call   to   me/to    you  
           ‘They call me/you’  
       b. camanu    issu/issi/frate-tu  
           they.call   him/them/brother-yours  
           ‘They call him/them/your brother’

*Cagnano Amiterno*

Similarly, in *Vella* in (12) or in *Sasso* in (13), 1<sup>st</sup>/2<sup>nd</sup> person are cut off from other referents by the fact that they are not associated with case differentiations at all.<sup>8</sup> In other languages, case inflections single out pronominal DPs (including 3<sup>rd</sup> persons) from non-pronominal ones, for instance Old Florentine, to be considered immediately below in section 2.1. Other languages have different case systems for definite and indefinite DPs (e.g. Romanian), and the indefinite set is typically less differentiated; for instance in Albanian nominative and accusative may be differentiated in the definite paradigm, but not in the indefinite one (Manzini and Savoia 2011a).

Descriptively, therefore, it is clear how the participant/animacy/definiteness hierarchy works. Its theoretical status is much less well defined. What is obvious is that 1<sup>st</sup> and 2<sup>nd</sup> person referents (speaker and hearer) are directly anchored at the universe of discourse, while 3<sup>rd</sup> referents (and also possibly 1<sup>st</sup>/2<sup>nd</sup> plural, which involve reference to ‘others’ besides the ‘speaker’ and ‘hearer’) are not. Seen from this perspective, human referents are also a potential set of speakers

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<sup>8</sup> In fact since it is generally assumed that 1<sup>st</sup> person referents are more prominent on the ‘animacy’ hierarchy we are not surprised to find that in *Vella*, the richest case system pertains to the 1<sup>st</sup> person.

and hearers – i.e. of potential discourse-anchored participants. In such terms, the prominence of animates does not involve their potential agentivity (pace Dixon 1979), but rather their referential saliency (cf. DeLancey 1981). Definiteness and indefiniteness establish a different scale of referential saliency. What DOM suggests is that the two scales are only partially independent in the underlying ontology of natural languages.

At the same time, the problem posed by the data in section 1.2 is not only why 1<sup>st</sup>/2<sup>nd</sup> singular referents split away from others, but also why they have the richest case alignment. The answer is presumably similar to the one we have suggested for the prepositional accusative/DOM pattern – namely that DPs higher in the referential scale may require more complex embedding structures, making them into possessor rather than simple themes. Similarly, we suggest that less salient referents are able to satisfy any sentential attachment in virtue of their simple nominal class inflection N (via lambda conversion). However DPs higher in the referential scale require a more articulated structure of embedding, which reserves pure N inflections for themes (*Vella*) or even just for prepositional objects (*Sasso*), while specialized lexicalizations are required for EPP (nominative) and possessor (dative) embedding.

When these considerations are projected along the temporal axis, what they amount to is that the participant/definiteness/animacy system is more resistant to the loss of specialized case alignments – and that discourse participants are the most resistant. From this perspective, the change from Latin into Romance is not so much characterized by the loss of case as by the alignment of case with some (highly restrictive) cuts on the animacy/definiteness hierarchy – so that case is preserved only by participant pronouns.

## 2 From Old Florentine to modern Italian: Two case systems

In section 1 we have considered the survival of a three case system (nominative, objective, oblique) in the 1<sup>st</sup>/2<sup>nd</sup> person pronouns of Romansh and Southern Italian varieties. In this section we survey Old Italian (Florentine) pronouns, characterized by a two case system (nominative vs. objective/oblique) in all persons. The two case organization still characterizes the 1<sup>st</sup>/2<sup>nd</sup> person singular of modern Italian (e.g. *io* ‘I’ vs. *me* ‘me’). Some of it survives also in the 3<sup>rd</sup> person plural, since we argue that the special distribution for *loro* depends on the fact that *loro* has oblique properties, rather than on its weak status, as argued by Cardinaletti and Starke (1999).

2.1 Old Florentine

In late XIII and early XIV century Florentine, 1<sup>st</sup>/2<sup>nd</sup> singular and the 3<sup>rd</sup> person full pronouns differentiate nominative from objective/oblique (Castellani 2009, Egerland and Cardinaletti 2010), though 1<sup>st</sup>/2<sup>nd</sup> person plural have a single form, *noi*, *voi*. This two case system, illustrated in Table 3, recalls that of Medieval Gallo-Romance nouns (Brunot and Bruneau 1969).

Table 3: Full pronouns in Old Florentine

	1sg	2sg	3sg.m	3sg.f	3pl.m	3pl.f
Nom	io	tu	elli/egli	ella	elli/eglino	elle
Obj/Obl	me	te	lui	lei	loro	loro

To exemplify, nominative *elli* in (20a) alternates with *lui* both as direct object in (20b) and as the object of the a preposition in (20c). Comparable examples are provided for *ella* vs. *lei* in (21).

- (20) a. Elli fece uno concilio di cxiiij vescovi . . .  
He made a council of 113 bishops  
(*Cronica fiorentina del XIII secolo*, Schiaffini 1954: 86, 24)

b. . . . lo Imperadore . . . , sì cacciò lui e elesse un altro papa . . .  
the emperor indeed chased him away and elected another Pope  
(*Cronica fiorentina del XIII secolo*, Schiaffini 1954: 94, 24)

c. Onde picciolo guiderdone diedi a lui di così ricco insegnamento.  
whence little recompense I gave to him for such a rich teaching  
(*Il Novellino*, VIII, Lo Nigro 1968: 81)
- (21) a. . . . ch'ella non fusse la diricta lancia con che Cristo fu fedito . . .  
that it(f) wasn't the true spear with which Christ was wounded  
(*Cronica fiorentina del XIII secolo*, Schiaffini 1954: 91, 6–7)

b. . . . lo quale amava anche lei . . .  
who loved also her  
(*Il Novellino*, XCIX, Lo Nigro 1968: 209)

In the plural, the distribution of objective/oblique *loro* is slightly different from that of *lui/lei*, since it can occur without preposition with dative interpretation, as in (22b); its use after preposition remains of course possible, as in (22c). In (22a) we provide the comparison with the nominative (masculine) *elli*. The possibility for *lui/lei* to occur as datives without preposition, quoted in the literature

and taken up by Egerland and Cardinaletti (2010) seems to characterize only a restricted number of texts, largely poetic or erudite ones, in particular by Dante (e.g. *ond'io risposi lei* 'whence I answered her' (*Purg.* 33, 91)), and by Brunetto Latini (e.g. *la persona che lui sembrava rea* ... 'the person that seemed (to) him guilty' (*Rettorica*, 197, 13)). The prose, practical texts that we exemplify systematically present oblique *lui/lei* introduced by preposition.

- (22) a. Et quasi elli fecero di nuovo un'altra Tavola Ritonda  
and almost they made again another Round Table  
(*Cronica fiorentina del XIII secolo*, Schiaffini 1954: 93, 32–33)
- b. ... e fece loro simigliante proposta.  
and he made them a similar proposal  
(*Il Novellino*, LXXXI, Lo Nigro 1968: 78)
- c. ... onde mandiamo a loro ...  
whereby we send to them  
(*Il Novellino*, LXXXI, Lo Nigro 1968: 187)

Old Florentine 1<sup>st</sup> and 2<sup>nd</sup> person forms alternate according to the modern Italian usage, and are not exemplified here. The nominative *io* 'I' and *tu* 'you' use specialized lexical bases, while the objective results from the bases *m-*, *t-* followed by the nominal class morphology *-e* (*me*, *te*). As for the 3<sup>rd</sup> person, the *ell-* base and the *l-* base denote definiteness – or in any event the complex set of primitives clustering around the D category (Ramchand and Svenonius 2008). In the feminine nominative the lexical base *ell-* is inflected simply by the nominal class N morphology *-a* for the singular and *-e* for the plural. The objective forms *l-oro*, *lu-i* and *le-i* result from the merger of the lexical base *l-* (eventually inflected for nominal class (*lu-*, *le-*) with the oblique *-oro* (plural) and *-i* inflections.<sup>9</sup> The same *-i* inflection, also turns up in the nominative *ell-i* both in the singular and in the plural.

The syncretism between oblique *-i* (*lui*, *lei*) and plural *-i* (*elli* 'they') has already been discussed in connection with Latin (1)–(7); in fact it characterizes the Latin pronoun *ill-i* 'to him/her', 'they'. At the same time Old Florentine extends *-i* to nominative singular. Manzini and Savoia (2010, 2011b) discuss this syncretism between oblique and nominative (including the singular) for Latin *-s*, which in

<sup>9</sup> In the historical literature it is standardly accepted that *lui/lei* have an 'analogical' origin based on dative forms of the type *e-i* 'to him/her', *cu-i* 'to which', etc. (Rohlf's 1968 [1949]: 137). In Vulgar Latin (documented in inscriptions) we indeed find datives *illui*, *illaei* (Väänänen 1971: 219). In the literature it is also generally accepted that dative *illi* is directly continued by the Italian dative clitic *gli*, and by the corresponding clitic forms of Old French *li*, etc.

the III class lexicalizes genitive singular (e.g. *urbi-s* ‘of the city’) and nominative singular and plural (e.g. *urb-s* ‘the city’; *urbe-s* ‘the cities’). If we follow Manzini and Savoia, Latin *-s* is a generalized Q inflection, compatible with oblique and with plural, i.e.  $Q(\subseteq)$ , but also with the EPP construed as a specialized lambda abstraction closing off the argumental structure of the sentence (see section 1.3). The same would apply to *-i* in Old Florentine.

A problem raised by the analysis of *lui/lei* as obliques is how these forms come to be found in the descriptively accusative position, as in (20b). It is tempting to propose that this oblique marking is a reflex of the person/animacy/definiteness split conditions reviewed in section 1.6. In other words, 3<sup>rd</sup> person pronouns, (involving the *l-* definiteness base also found on determiners), can only be attached as EPP arguments (nominative) or as possessors (oblique). Thus it is possible that a particular lexical base (here *l-* for definiteness/D) presents an alignment not found with other denotations. This is what we expect to find if hierarchies are at best descriptive devices – while the underlying reality of person, definiteness and animacy alignments are discrete categories such as definiteness.

This aspect of the Old Florentine pronominal system also poses an interesting problem for Calabrese’s (1998, 2008) analysis. Calabrese discusses the two case system of Old French (nominative vs. objective), where the objective continues the Latin accusative. He derives Old French from the assumption that the oblique case filter is activated; the repair of the underlying feature cluster leads to realization of the oblique by the accusative morphology. However this process depends on oblique being more marked than accusative, so that it is blocked first. The markedness hierarchy cannot be reversed to yield a system like Old Florentine similar to Old French in opposing nominative and objective, but where it is the morphological oblique that survives, rather than the accusative.

## 2.2 Italian *loro* as a weak pronoun

Modern Italian, like Old Florentine, has *io/me*, *tu/te* contrasts in the 1<sup>st</sup>/2<sup>nd</sup> person (see Table 3). However the 3<sup>rd</sup> person forms *lui*, *lei*, *loro* cover the entire spectrum of argumental positions, including the nominative. In other words, 1<sup>st</sup> and 2<sup>nd</sup> singular display once again a more robust association with case than 3<sup>rd</sup> person, as studied in section 1. We argue that an exception to this state of affairs is represented by the 3<sup>rd</sup> person plural modern Italian *loro*, which maintains the distribution seen in Old Florentine (22b), imputed here to oblique case. On the contrary, Cardinaletti and Starke (1999), Cardinaletti (1998) argue that the special distribution of *loro* in modern Italian is to be captured through the category weak pronoun. The same category is argued to account for the distribution of *lui/lei/loro*

in Old Italian/Florentine by Egerland and Cardinaletti (2010). In particular weak *loro* would account for (22b), while its strong counterpart would be responsible for the objective distribution in (22c), exactly as for their modern Italian counterparts.

Let us briefly review Cardinaletti and Starke's (1999) evidence. They observe that *loro* 'they/them/to them' in modern Italian has two different distributions. In one distribution, it fills the same positions as any ordinary noun phrase, patterning together with *lui* 'he/him' and *lei* 'she/her' as in (23b). In the other distribution, *loro* is associated with a Dative Shift position which is unavailable to *lui/lei*, as in (23a) – and similarly for the genitive position in (23c) (Cardinaletti 1998). Modern Italian (23) closely parallels the examples from XIII–XIV century Florentine prose texts in (20)–(22).

- (23) a. Ho        offerto    loro/\*lui/\*lei    il mio aiuto  
          I.have   offered   them/him/her   my help  
       b. Ho        offerto    il mio aiuto    a    lui/lei/loro  
          I.have   offered   my help        to   him/her/them  
       c. Il        loro/\*lui/\*lei    libro  
          The    their/his/her    book

From the distributional facts in (23), Cardinaletti and Starke conclude that there are two *loro* – namely a strong *loro* and a weak *loro*. They support this categorization by correlating the distributions observed with independent criteria, also adopted by Egerland and Cardinaletti (2010: 416). First, they argue that strong *loro* is interpreted as human, while weak *loro* (the Dative Shift/genitive one) can have any reference. However the intuitions of the speakers we consulted are that *loro* generally admits of inanimate reference also in the strong distribution. This is supported by corpus data like (24) from the national newspaper *La Repubblica* (Baroni et al. 2004).<sup>10</sup>

- (24) a. Consideriamo, per un attimo, l'automobile [. . .] Eccola lì. Anzi,  
          Consider,        for a moment, the car . . . There it is.        Or better,  
          eccole lì [. . .]        proprio nel momento in cui l' uomo  
          there they are . . . just at the time when a man can  
          non può più servirsi di loro [. . .] per qualche giorno, pensa che,  
          no longer use (of) them . . .        for a few days        he thinks that,

<sup>10</sup> This is not a corpus study, and we interrogated the database in a completely unsophisticated way, simply asking for expressions which ought to be acceptable in the relevant readings, here "senza di loro", "sopra di loro".

ecco, in città si può, forse, vivere **senza di loro**  
 yes, in the city one can, perhaps, live without (of) them

- b. Quando poi [. . .] arriva a dipingere il fondo, tutto si fa indistinto,  
 When next . . . he gets to painting the background, all becomes indistinct,  
 sciolto nella luce [. . .] Sarà la fila lunga delle colline che si fanno  
 melting into the light . . . It may be the long line of hills that become  
 tutte rosa sotto il pallido azzurro del cielo **sopra di loro**  
 all pink under the pale blue of the sky above (of) them

Furthermore, for Cardinaletti and Starke, weak pronouns cannot be coordinated. It is difficult to have an intuition about examples of coordination for weak *loro*, since they involve coordination of *loro* with itself in the Object Shift position, as in (25). We therefore suggest that judgement should be suspended on these examples and that coordination should be tested instead on the genitive, where *loro* can in principle be coordinated with any possessive pronoun. In the judgements we collected and in the corpus data from *La Repubblica* in (26) this coordination yields wellformed results.<sup>11</sup>

- (25) ≠Ho dato loro e loro tutti i miei soldi  
 I.have given them and them all the my money  
 'I gave all my money to them and them'

- (26) a. (com'era) malsano quel vento dell'Est che, loro, respirarono  
 How unhealthy that wind from the East was that they breathed  
 gioiosamente a pieni polmoni. E che oggi, per  
 joyously with full lungs. And that today, for (g)od  
 fortuna **loro e nostra**, non soffia più.  
 fortune their and ours, no longer blows.
- b. Santagata e Morganti, che negli anni hanno [. . .] ricondotto alla  
 S. and M., who throughout the years have brought back to  
**loro e nostra** quotidianità, [. . .] anche i mondi degli autori  
 their and our daily life, also the worlds of the authors  
 volta a volta visitati: Dostoevskij [. . .]  
 in turn visited: D . . .

<sup>11</sup> An anonymous reviewer questions the relevance of expressions like *per fortuna loro* in (26a), given that they seem to have special properties such as the lack of a definite determiner. However (26b) presents none of these special properties.

Next, in Cardinaletti and Starke's judgement weak *loro* cannot be modified by adverbs, specifically by *only* and *also*. Data like (27) are instead acceptable for our speakers, and they are indeed sourced from the *La Repubblica* corpus. Note that even if the postnominal position is involved for the possessive in (27b), the weak form *loro* seems to be used, not the strong form preceded by the 'of' preposition. If vice versa postnominal *loro* is claimed to be strong, this means that the absence of the prepositional layer is no longer a predictor of weak status – undermining a different generalization.

- (27) a. La diagnosi ha **dato anche loro** la certezza che erano sane pure le  
the diagnosis has given also them the certainty that were healthy  
loro figlie  
their daughters too
- b. al Marsiglia mancavano cinque giocatori per squalifica  
Marseille lacked five players because of disqualification  
(**colpa anche loro**).  
(fault also theirs)

Cardinaletti and Starke's idea is that weak pronouns are structurally smaller than strong pronouns, though they are bigger than clitics. Specifically, clitics are IP-like constituents. Weak pronouns correspond to a projection  $\Sigma P$  (in the sense of Laka (1990)), which contributes prosodic properties to them. Strong pronouns have a CP-like structure, where the preposition that introduces them (e.g. *a* 'to' in *a loro* 'to them') is assimilated to a C head. However, introducing a C layer or a  $\Sigma$  layer in a sentence implies introducing LF-relevant properties. Therefore introducing such a layer in the structure of a pronoun ought to yield LF-relevant distinctions between weak pronouns, strong pronouns and clitics. In reality, they all refer in the same way (i.e. deictically, anaphorically and as bound variables). Morphology concurs with semantics in supporting a similar structuring for all 3<sup>rd</sup> person pronouns in Romance. For instance, supposedly weak and strong *loro* are morphologically identical. More to the point, even clitics are at least as complex as full pronouns, corresponding to the merger of two separate morphemes, namely an *l*-base, introducing definite reference, and inflectional endings introducing nominal class and case. The only way to avoid the obvious conclusion that full pronouns and clitics are equal in size (internal constituency) is to embrace a realizational model of the lexicon (see section 1.4), as Cardinaletti and Starke do.

In the next section we will propose that *loro* is just a full pronoun (like *lui* or *lei*) except that its special oblique case properties allow it to occur in the Dative Shift and possessor positions not available to *lui/lei* in (23). In other words, *loro*

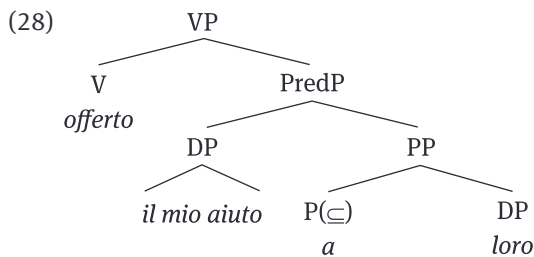


provides no evidence for the strong vs. weak categorization. The category clitic is not questioned here. For it, we adopt the standardly accepted definition suggested by Sportiche's (1996) analysis – namely that clitic pronouns correspond to specialized functional heads on the sentential spine.

## 2.3 Italian *loro* as an oblique

Briefly, Cardinaletti and Starke (1999) account for the distribution of *loro* in (23) on the basis of the assumption that *a loro* is a strong pronoun and *a-less loro* a weak pronoun. Being strong, *a loro* has a CP-like layer introduced by the preposition *a*, which is characterized by case properties. Weak *loro* lacks this layer and therefore must occur in a position where it can get case via agreement, namely a [Spec, Agr] position, identified with the Dative Shift position. Here we argue on the contrary that Dative Shift *loro* has case, namely oblique case. Conversely, other occurrences of *loro* depend on lack of oblique case.

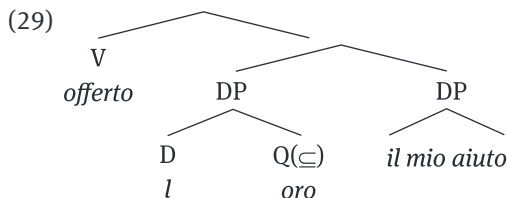
Consider first *loro* with the ordinary DP distribution (no Dative Shift), as in (23b). Following Kayne (1984), and as discussed above in connection with Latin (4) and *Vella's* (15), the complement of a ditransitive verb like 'offer' is a predication denoting possession. In present terms, *a* is the predicate head denoting possession,  $P(\subseteq)$ , taking *loro* as its internal argument (the possessor) and the theme of the verb is its external argument (the possessum), as in (28). Since the  $\subseteq$  relation is introduced by *P*, no oblique  $Q(\subseteq)$  property is required on *loro*.<sup>12</sup>



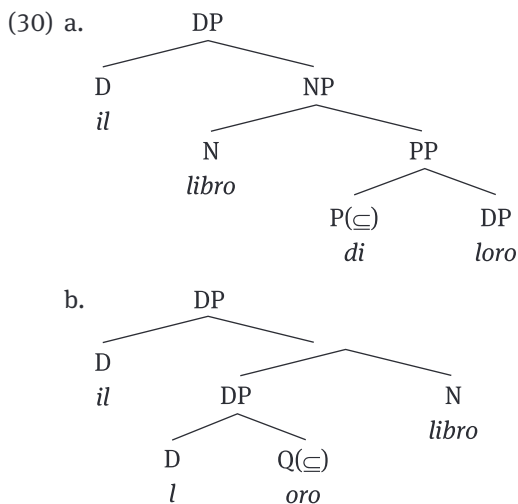
Consider then Dative Shift *loro*, as in (23a). The present hypothesis is that this position depends on *loro* being associated with an inflectional oblique. In other

<sup>12</sup> The representation in (28) is of course simplified. Non-oblique *loro* has the same internal structure as oblique *loro* in (29) below. Following the discussion of Latin and Old Florentine *-i*, furthermore, the plural reading of *loro* depends simply on a different construal of the  $Q(\subseteq)$  property associated with the *-oro* inflection.

words, the *-oro* ending is a lexicalization of the  $Q(\subseteq)$  relation taking as its internal argument the *l*-pronominal base to which it attaches and as its external argument the theme of the verb. The resulting surface constituency is as in (29). We will return to whether (29) is a base structure (hence a VP) or a derived structure below.



The alternation between genitive *loro* structures, and structures where the possessor is introduced by the *di* ‘of’ preposition, reproduces the alternation in (28)–(29) – as schematized in (30). The *di* preposition with  $P(\subseteq)$  content in (30a) takes the possessor as its object to the right and the possessee as its subject to the left. Genitive *loro* yields the inverse order of possessor and possessee, as in (30b).



In general, the possessor to the right implies a preposition; the possessor to the left implies some oblique case properties. Right-left reordering of arguments obviously invites a treatment in terms of movement. The question is whether movement is actually involved (leaving an interpreted variable in the extraction site) or what are observed are simply two different linearizations for the same basic merger in PredP. Here we leave the question open, noting however that the

present model is entirely neutral with respect to it. In other words, adoption of the present proposal does not interfere with further theoretical choices. Specifically, in section 1.3, we indicated that nothing prevents us from assuming that there is a dedicated functional head corresponding to the ( $\subseteq$ ) content of oblique case inflections, possibly to be identified with the Appl head of Pylkkänen (2008). In terms of a ( $\subseteq$ ) functional head, we can model the right-left reorderings in (28)–(39) by movement, along the lines (31). The idea is that the case properties of *loro* in (29) and (30b) require it to be positioned in the ( $\subseteq$ ) position, so that the relevant structures are to be refined as in (31a) and (31b) respectively.

- (31) a. ... offerto [ $\subseteq$ ] loro [ $_{VP}$  offerto [il mio aiuto loro]]  
 b. [ $_{DP}$  il [ $\subseteq$ ] loro [ $_{NP}$  libro loro]]

Interestingly, (31a) closely matches Cardinaletti and Starke's schema of derivation for Dative Shift *loro* (moved leftward to an AGR functional head). However, for Cardinaletti and Starke, *loro* moves to a functional position because it is smaller than *a loro*; this is the essence of the strong/weak distinction. In the present approach, *loro* and *a loro* have the same properties, though differently lexicalized, by Q( $\subseteq$ ) and P( $\subseteq$ ) respectively. The Dative Shift/possessive *loro* is accounted for on the basis of the category Q( $\subseteq$ ) (oblique case). However *loro* can also have the same non-oblique distribution as *lui/lei*. Therefore we assume that the *-oro* inflection can carry both plural and oblique properties, or just plural properties, as in (32). This correctly yields the alternation between oblique *loro* in (29) and (30b) and non-oblique *loro* in (28) and (30a).<sup>13</sup>

- (32) *l-*: definite  
*-oro*: plural, (oblique)

In short, Q( $\subseteq$ ) oblique case is sufficient to predict the distribution of Dative Shift/possessive *loro*.<sup>14</sup> Vice versa, at least for modern Italian pronouns, the

<sup>13</sup> Technically, oblique and plural in (32) are just two different construals of the Q( $\subseteq$ ) predicate.

<sup>14</sup> One further distributional piece of data concerning dative *loro* has not been discussed here, namely the fact that it can be found between the auxiliary and the participle, as in (i).

(i) Ho loro promesso il mio aiuto  
 I have them promised my help  
 'I promised my help to them'

This positioning of *loro* is an independent issue. For instance it could be dealt with by assuming that the Dative Shift position can be higher than the participle position, given a movement analysis of the type in (31).

notions of weak and strong pronoun are at best redundant. Note that our stance here does not consist in denying that there may be several pronominal series in the languages we are considering. Descriptively, *loro* cannot be entirely reduced either to other full pronouns (which do not share the Dative Shift/possessive distribution) – nor to clitics. What we are calling into question is that this has anything to do with the weak pronoun category of the theoretical literature, as opposed to independently needed categories (here oblique case).

Going back to Old Florentine, we can now analyze examples like (22b) with the Dative Shift distribution of *loro* in the same way as their modern Italian counterpart (29). Evidence for a Dative Shift distribution of *lui/lei* is however restricted to a few authors and there is no evidence for it in the prose practical texts exemplified in (20)–(22). Therefore we would have to assume that the *-i* inflection of *lui/lei* no longer has an oblique value, even at this stage of the development of the language. We of course assume the same to hold of modern Italian.

## 2.4 Concluding remarks: the form of the lexicon

Theoretically, the *raison d'être* of a category like that of weak pronoun is upholding a certain conception of the organization of the lexicon, hence of grammar. Cardinaletti and Starke (1999) motivate it on the basis of a classical criterion, crossing morphology and distribution. In general, given a morphology *M* specialized for distribution *D*, one says that *M* + *D* individuate category *C*. For instance the morphology *loro* (*M*) with Dative Shift distribution (*D*), as in (23a) individuates the category weak pronoun (*M*+*D*). This differs both from the form (*a*) *loro* with ordinary (*P*) DP distribution (strong pronoun) and from the form *gli* with clitic distribution.

If such a categorization is generalized, it yields essentially the same paradigms as in a descriptive or normative grammar, with a proliferation of syncretisms and homophonies. What appears to be important in such lexicons is the underlying regularity of abstract categories; the amount of opacity present at the PF interface (in the form of homophony or syncretism/neutralization) is irrelevant. In other words, a (near) invariant syntactic-semantic structure combines with (near) arbitrary variation at the PF interface, leading to the adoption of realizational models of the lexicon, as opposed to projection from the lexicon to the syntax.

For instance, consider Romansh varieties, where non-clitic pronouns have both a distribution available to Italian *lui/lei*, for instance in left dislocated position, as in (33b) – and a distribution unavailable to *lui/lei* (and non-oblique *loro*) in Italian, for instance in V-adjacent position, as in (33a). In such a language the

strong-weak categorization would lead to the postulation of two completely homophonous series of pronouns, one with the ‘strong’ distribution in (33b) and the other with the ‘weak’ distribution in (33a). This obscures the fact that forms like *el/ela* ‘he/she’ have exactly the same overall distribution as any lexical DP in the language (e.g. a name like *John*). Similarly, Egerland and Cardinaletti (2010) classify the occurrences of non-clitic pronouns in Old Florentine according to the categories weak and strong (or “free”) pronouns. For instance an occurrence like (21b) would be ‘strong’, while an occurrence like (22a) would be weak (incompatible with *lui/lei/loro* in modern Italian). It is immaterial to them that the strong and weak series are lexically identical.

- (33) a. *els klōman mai/tai/el/ela*  
           they call me/you/him/her  
           ‘They call me/you/him/her’  
       b. *mai jɛu diɛrməl*  
           me, I sleep  
           ‘(As for) me, I sleep’

Vella

In this section, we have argued that Italian *loro* can be accounted for (without loss of empirical adequacy or theoretical generality) by ignoring such abstract schemas of organization as the strong vs. weak opposition. Under the lexicalist conception of the architecture of grammar that we adopt, the mapping between LF content and PF content, with its potential for variation, is carried out by the lexicon – and the computational component operates on lexical items and not on abstract properties. Under such a view, nothing leads us to expect that categories are represented uniformly throughout a given language – or across languages. Thus *loro* is best accounted for as a partial survival of oblique case.<sup>15</sup>

More generally, in this article we have found considerable evidence as to the survival of a case system into Romance, specifically in the full pronouns system.

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15 The restricted distribution of *lui/lei/loro* in Italian in direct case positions (nominative, accusative) is explained by Cardinaletti and Starke (1999) in terms of an Economy of representations principle, which they formulate simply as “Minimize structure (up to crash)”. By it, cliticization/null pronouns are obligatory if possible. The issue whether there are bona fide optimization processes in grammar is again too complex to be addressed here. In any event, the complementary distribution (if real) is between clitics/null pronouns and full pronouns does not require the category weak pronoun.

As a side result of our main line of investigation, we have been led to doubt that Romance languages have a weak pronouns series, besides full and clitic ones.<sup>16</sup>

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**16** An anonymous reviewer reminds us that the discussion of Romance and other languages by Cardinaletti and Starke (1999) is based on the notion of weak pronoun originally formulated by Holmberg (1986) for Germanic languages. Whether such a category can be given theoretical and empirical coherence in Germanic languages (and what its relation is with the category clitic of Romance) is outside the scope of the present work.

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